



COCHIN STOCK BROKERS LIMITED

Regd. Office: MES Dr. P K Abdul Gafoor Memorial Complex, 36/1565, 4th Floor, Judges Avenue,
 Kallur, Cochin – 682017. Telephone: 0484-3042500/3042595/3048519 Fax: 0484–2401169
 Compliance Officer : Mr. Mathew Thomas. Phone : 0484 - 3045501.
 Email Id for Investor complaints: csbl_grievance@cochinstockexchange.com

Know Your Client (KYC) For Existing Clients

Application Form (For Individuals Only)

For activation of New Exchange, Segments, Periodical review, Dormant Account.

A. Identity Details Date :

1. Name of Applicant (As appearing in supporting identification document)
 Name
 Father's/Spouse Name

2. Gender Male Female B. Marital status Single Married C. Date of Birth / /

3. Nationality Indian Other

4. Status Please tick (✓) Resident Individual Non Resident Foreign National (Passport Copy, Mandatory for NRIs & Foreign National)

5. PAN 6. Client Code

7. Unique Identification Number (UID)/Aadhaar, if any:.....

B. Address Details

1. Address for Correspondence (Proof required if any change)

 City / Town / Village Pin Code
 State Country

2. Contact Details
 Tel. (Off.) Tel. (Res.)
 Mobile This Mobile No.is my - Own, Spouse, Dependant Parent/Child
 E-Mail Id.
 This E-mail ID is my Own, Spouse, Dependant Parent/Child

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.
 Passport Ration Card Registered Lease/Sale Agreement of Residence Driving License Voter Identity Card * Latest Bank A/c Statement/Passbook* Latest Telephone Bill (only BSNL Land Line) *Latest Electricity Bill *Latest Gas Bill
 *Not more than 3 Months old. Validity/Expiry date of proof of address submitted

4. Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resident Applicant

 City / Town / Village Pin Code
 State Country

C. Other Details.

1. Gross Annual Income Details (Please tick (✓)): Below 1 Lac 1-5 Lac 5-10 Lac 10-25 Lac > 25 Lacs
 OR
 Net-worth in ₹ (*Net worth should not be older than 1 year) as on (date) / /

2. Occupation (Please tick (✓) any one and give brief details):
 Private Sector Service Public Sector Government Service Business Professional Agriculturist Retired
 Housewife Student Forex Dealer Others (Please specify).....

3. Please tick, if applicable: Politically Exposed Person Related to a Politically Exposed Person

4. Proof/s given (Self attested copies).....

D. Bank Details : Bank Name:..... Branch

Bank A/c No..... A/c. Type IFSC

E. Depository A/c. Details: DP Name..... BO ID

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. Incase any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place:

Date:

SIGNATURE OF THE CLIENT

P. T. O.

F. TRADING PREFERENCES

*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

Exchanges	Segments : Signatures of Client	BROKERAGE STRUCTURE		
		SEGMENTS	PERCENTAGE	MINIMUM PAISE
NSE	Cash : 	EQUITY Min. Rs. 15 /- per contract	Same day square off	
	Currency : 		Delivery	
	Derivative : 	FUTURES		
	F&O : 	OPTIONS		Rs. _____ per lot
	Mutual Fund : 	CURRENCY DERIVATIVES		
	Margin Trading : 	MUTUAL FUND		
BSE	Cash : 	Signature of the Client:		
	F & O : 			
	Mutual Fund : 			

G. Whether any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant No Yes If Yes, Please specify.....

H. Whether you wish to receive physical contract note or Electronic Contract Note (ECN) - (please specify) If ECN Please Specify E-mail ID

I. Whether you wish to avail SMS / E-mail trade confirmation providing by the Exchanges. No Yes

J. Whether you wish to avail of the facility of internet trading/ wireless technology Yes / No (If Yes, please specify) : NEST Trader Web NEST Mobile NOW Fastrade

K. VOLUNTARY - I / We request you to settle my / our account for funds and securities on a Quarterly OR Monthly basis. (Tick any one) Clients signature..... or such other higher period as allowed by SEBI/Stock Exchange time to time.

L. NOMINATION DETAILS (for individuals only) I / We wish to nominate I / We do not wish to nominate

If wish to nominate put Clients signature.....

Name of the Nominee: Relationship.....

Address and Phone no. of the Nominee:.....

PAN of Nominee:..... Date of Birth of Nominee:..... If Minor

Name and Address of Guardian.....

Signature of Guardian.....

WITNESSES (Only applicable in case the account holder has made nomination)

Name : Name :

Signature : Signature :

Address : Address :

FOR OFFICE USE ONLY

IPV Done on / /

For CSBL office use only

Sub Broker details :- (Seal & Signature)

Staff Name :
Designation :
Name of the Organization :
Signature :

Date

Date

voluntary

Special
Adhesive
Stamp
Rs. 100 /-

Client Code _____

POWER OF ATTORNEY FOR PAY-IN OF SECURITIES FOR THE PURPOSE OF SETTLEMENT AND MARGIN

TO ALL TO WHOM THESE PRESENTS SHALL COME I/WE,.....
..... an individual / a sole proprietary concern / a partnership firm, Limited Liability Partnership / a HUF / a body corporate or any other legal entity registered / incorporated under the provisions of a relevant Act, [name of the Beneficial Owner] aged.....years, S/o, D/o. residing at..... or having Registered Office at.....
..... incorporated/ registered onwith PAN Card No.....whose other particulars are given hereunder at the execution page hereinafter referred to as "Client" which expression shall, unless it be repugnant to the meaning or context thereof, be deemed to mean and include my / our heirs, executors, administrators, permitted assigns, the partners of the firm and the survivors of them and the heirs, executors and administrators of the partners, successors in title, trustees of a Trust and their successors, member of the governing body and any new members elected, appointed or co-opted as the case may be of a society, having residence / office at the place mentioned above and also at the execution page (residence address for individuals, office address for proprietorship and partnership and HUF and registered office for companies, trusts and societies) having E-mail Id.....
.....Mobile.....

Whereas I/We hold a Beneficial Account No.12023900..... (BO-ID) with Central Depository Services (India) Limited, through Cochin Stock Brokers Limited (CSBL) (the Depository Participant) bearing DP ID 12023900 having its registered office at MES, Dr. P.K. Abdul Gafoor Memorial Cultural Complex, 36/1565, 4th Floor, Judges Avenue, Kaloor, Cochin 682017 and is a Stock Broking Company incorporated under the Companies Act, 1956.

And Whereas I/We propose to register/ am/are an investor engaged in buying and selling of securities through CSBL (the Trading/ Clearing Member), a member of National Stock Exchange Of India Limited (NSE) / BSE Ltd., Multi Commodity Exchange Limited (MCX) or any other Recognised Stock Exchange ('Stock Exchange') through whom CSBL trades, bearing SEBI Registration No INZ000195533.

And Whereas due to exigency and paucity of time, I/We am/are desirous of appointing an agent / attorney to operate the aforesaid beneficial account on my/our behalf for a limited purpose in the manner hereinafter appearing at my / our cost and expense to do and / or cause to be done the acts, deeds or things for the limited purposes as under:

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NOW KNOW WE ALL AND THESE PRESENTS WITNESSETH THAT I/WE THE ABOVE NAMED DO HEREBY NOMINATE, CONSTITUTE AND APPOINT CSBL acting through any of its officers or employees or any sub-agents or delegates, (the attorney / Trading/Clearing Member) as my/our true and lawful attorney (hereinafter referred to as the attorney) for me/our and on my/our behalf and in my/our name:

1. to do instruct the aforesaid Depository Participant to debit securities and/or to transfer securities from the aforesaid account towards the stock exchange related margin/ settlement obligations /and for the purpose of delivering the same to the clearing corporation of the recognized stock exchange towards any segment in respect of trades / transactions executed by me/us on any stock exchange through CSBL from any of their respective demat accounts as given in Schedule II.
2. To consider the securities in the demat account (details of which are given herein) as margin / collateral / security for dealings done / to be done / expected to be done through / with CSBL on my/our behalf; and
3. Pledge the securities for the limited purpose of meeting the margin requirements in connection with my/our trades/ transactions executed on the stock exchange through CSBL,
4. To apply for various products like mutual funds, public issues (shares as well as debentures), rights, offer of shares, tendering shares in open offers, redemption, etc. pursuant to my/our instructions and to give effect to my/our desires / requirements to sign any documents and / or do any and all act(s) as may be required for these purposes from time to time. However, a proper audit trail should be maintained by CSBL to prove that the necessary application/act was made/done pursuant to receipt of instruction from me/us.
5. This authority is applicable to all my/our pay -in obligations arising out of the transactions of sale and all trades effected by me/us through CSBL (the attorney / Trading/ Clearing Member) and I/we ratify the instructions given from time to time by the aforesaid attorney/Trading/ Clearing Member to the Depository Participant named hereinabove in the manner specified herein.
6. This PoA is further given with the understanding that CSBL would return to me/us, the securities that may have been received by it erroneously or those securities that it was not entitled to receive from me/us.
7. I/We shall return to CSBL, the securities that may have been received by me/ us erroneously or those securities that I/We were not entitled to be received from CSBL.
8. It is further agreed and confirmed that for a demat account held jointly, a fresh PoA will be executed, if there is any change in the constitution of the account.
9. I/We hereby authorise CSBL to send consolidated summary of my/our scrip-wise buy and sell positions taken with average rates to me/us by way of SMS to / E-mail toon a daily basis.

I/We agree that instructions given by me/us to block securities in or to transfer securities from an Account in connection with any transaction entered into and/or to be entered into by me/us with or through , CSBL will be given first priority over any other instructions (whether prior or subsequent) given or issued by me/us or through any other attorney.

I/We confirm that the powers and authorities conferred by this Power of Attorney shall be revocable at any time without notice after settlement of my dues to the attorney / Trading/ Clearing Member Depository Participant, Stock Exchanges and SEBI.

SCHEDULES

I. Client's beneficial account details :

DP Name	DP ID	Beneficial Owner's Account Number

II. CSBL's Beneficial Account details

DEPOSITORY BENEFICIAL ID	DEMAT A/C PURPOSE
1100001100014631	NSE Early Payin A/c
1100001000013821	BSE Early Payin A/c
1202390000000685	NSE CDSL Pool A/c
1202390000000419	BSE Principal A/c
1202390000405862	Client Collateral A/c
1202390000406401	CM/TM Collateral A/c
1202390000406564	Margin Trading A/c

We request you to consider the shares in my / our demat account as margin / security against my / our obligations, I/We agree that therefore an interest will get created in your favour against the shares in my/our account, I/We agree that our actions with respect to power granted to you shall not be detrimental to your ability to satisfy my/our margin / pay-in obligations.

AND I/We do hereby agree and undertake to be liable to Cochin Brokers Limited[(Attorney / Trading/Clearing Member) and agree to ratify and confirm all whatsoever Act or Acts my said Attorney shall lawfully do, execute or perform or cause to be done, executed or performed in pursuance of the above mentioned powers conferred on him /her by virtue of this deed of Power of Attorney .

Whereas my identity proofs such as passport/Voters ID/Adhar card/ Driving Licence/ Certificate of Incorporation/ Registration is sent herewith for verification.

In witness whereof I/We ----- hereunto had signed and subscribed my hand and seal on this Deed of Power of Attorney on this the ----- day of ----- 20 ----- at -----.

SIGNED AND DELIVERED :

By the within named Beneficial Owner(s) or client

Holder No.1: Name & Address:
.....Signature: ✍ X 18 A.....

Holder No.2: Name & Address:
.....Signature: ✍ X 18 B.....

Holder No.3: Name & Address:
.....Signature: ✍ X 18 C.....

IN THE PRESENCE OF WITNESSES :

- 1. Name :
- Address :
- Signature :

- 2. Name :
- Address :
- Signature :

i) WE ACCEPT

(Name, Address and signature of the Attorney).